



Docket No.: 197629US2

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

RE: Application Serial No.: 09/691,035  
Applicants: Kazunori BANNAI  
Filing Date: October 19, 2000  
For: AN OPTICAL SCANNING APPARATUS AND AN  
IMAGE FORMING APPARATUS  
Group Art Unit: 2861  
Examiner: Pham, H.C.

SIR:

Attached hereto for filing are the following papers:

**PETITION UNDER 37 C.F.R. § 1.181  
COPIES OF IDS PAPERS FILED 12/23/2002 - DATE-STAMPED FILING RECEIPT, IDS  
TRANSMITTAL, FORM PTO-1449 (2 PAGES), STATEMENT OF RELEVANCY**

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

Gregory J. Maier

Registration No. 25,599

Customer Number

**22850**

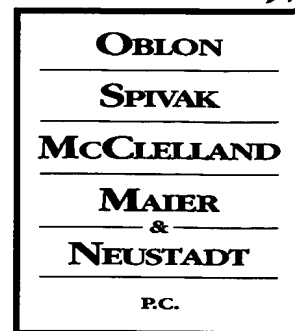
(703) 413-3000 (phone)

(703) 413-2220 (fax)

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Surinder Sachar

Registration No. 34,423



ATTORNEYS AT LAW

GREGORY J. MAIER  
(703) 413-3000  
GMAIER@OBLON.COM

SURINDER SACHAR  
(703) 413-3000  
SSACHAR@OBLON.COM

DOCKET NO: 197629US2



IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :  
KAZUNORI BANNAI : EXAMINER: PHAM, H. C.  
SERIAL NO: 09/691,035 :  
FILED: OCTOBER 19, 2000 : GROUP ART UNIT: 2861  
FOR: AN OPTICAL SCANNING :  
APPARATUS AND AN IMAGE FORMING  
APPARATUS

PETITION UNDER 37 C.F.R. § 1.181

COMMISSIONER FOR PATENTS  
ALEXANDRIA, VIRGINIA 22313

SIR:

This petition is in response to the Notice of Allowance mailed October 8, 2004.

REMARKS/ARGUMENTS

This petition under 37 C.F.R. § 1.181 requests that the Information Disclosure Statement (IDS) filed on December 23, 2002, be acknowledged as considered. At this time Applicants have not received confirmation of consideration of that filed IDS.

For convenience a copy of that filed IDS, including its date-stamped filing receipt, is provided herewith. Applicants respectfully request that Applicants be provided with a returned form PTO-1449 acknowledging consideration of the references cited in that IDS.

As the above-identified application has been allowed an early action on the present petition is respectfully requested.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.



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Gregory J. Maier  
Attorney of Record  
Registration No. 25,599

Customer Number

**22850**

Tel: (703) 413-3000  
Fax: (703) 413 -2220  
(OSMMN 06/04)

Surinder Sachar  
Registration No. 34,423

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*GJM*

OSMM&N File No. 197629US2

Dept.: E/M

By: GJM/sba

Serial No. 09/691,035

In the matter of the Application of: Kazunori BANNAI

For: OPTICAL SCANNING APPARATUS AND AN IMAGE FORMING APPARATUS

Due Date: 12/23/02

The following has been received in the U.S. Patent Office on the date stamped hereon

- Dep. Acct. Order Form
- PTO-1449
- Cited References (18)
- IDS/Related/List of Related Cases
- Cited Pending Applications (2)



Docket No. 197629US



COPY

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazunori BANNAI

SERIAL NO: 09/691,035

GAU: 2851

FILED: October 19, 2000

EXAMINER:

FOR: OPTICAL SCANNING APPARATUS AND AN IMAGE FORMING APPARATUS

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patents, together with a copy of the claims and drawings of the pending applications is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,  
MAIER & NEUSTADT, P.C.

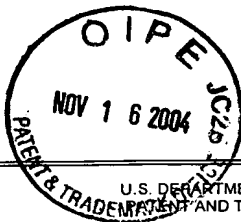


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Gregory J. Maier

Registration No. 25,599

Tel. (703) 413-3000  
Fax. (703) 413-2220  
(OSMMN 03/02)

Form PTO 1449  
(Modified)U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.  
197629US2SERIAL NO.  
09/691,035

## LIST OF REFERENCES CITED BY APPLICANT

APPLICANT  
Kazunori BANNAIFILING DATE  
October 19, 2000GROUP  
2851

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,315,322	05/24/94	BANNAI			
	AB	5,219,154	06/15/93	FUKUBE et al.			
	AC	5,224,693	07/06/93	TAGUCHI et al.			
	AD	5,255,904	10/26/93	TAGUCHI et al.			
	AE	5,390,033	02/14/95	BANNAI et al.			
	AF	5,227,842	07/13/93	HAYASHI et al.			
	AG	5,297,376	03/29/94	TAGUCHI et al.			
	AH	5,270,783	12/14/93	BISAIJI et al.			
	AI	5,682,227	10/28/97	TAGUCHI et al.			
	AJ	5,325,213	06/28/94	TAKAHASHI et al.			
	AK	5,316,282	05/31/94	FUKUBE et al.			
	AL	5,610,720	03/11/97	FUJIOKA et al.			
	AM	5,583,607	12/10/96	FUJIOKA et al.			
	AN	5,471,277	11/28/95	FUJIOKA et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
	AQ					
	AR					
	AS					
	AT					
	AU					
	AV					

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

	AW	
	AX	
	AY	
	AZ	<input type="checkbox"/> Additional References sheet(s) attached

Examiner

Date Considered

\*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

COPY  
SHEET 2 OF 2

Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 197629US2		SERIAL NO. 09/691,035	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Kazunori BANNAI			
				FILING DATE October 19, 2000		GROUP 2851	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	5,583,662	12/10/96	TAKAHASHI et al.			
	AB	6,075,624	06/13/00	BANNAI et al.			
	AC	5,689,348	11/18/97	TAKAHASHI et al.			
	AD	5,847,845	12/08/98	TAKAHASHI et al.			
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO						
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							



COPY

LIST OF RELATED CASES

<u>Docket Number</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Status or Patentee</u>
0557-2504-2 FWC	5,315,322	05/24/94	BANNAI
0557-2647-3	5,219,154	06/15/93	FUKUBE et al. ✓
0557-2654-2	5,224,693	07/06/93	TAGUCHI et al. ✓
0557-2677-2	5,255,904	10/26/93	TAGUCHI et al. ✓
0557-2689-3	5,390,033	02/14/95	BANNAI et al. ✓
0557-2758-2	5,227,842	07/13/93	HAYASHI et al. ✓
0557-2828-2	5,297,376	03/29/94	TAGUCHI et al. ✓
0557-2845-2	5,270,783	12/14/93	BISAIJI et al. ✓
0557-2916-2 FWC	5,682,227	10/28/97	TAGUCHI et al. ✓
0557-2925-3	5,325,213	06/28/94	TAKAHASHI et al. ✓
0557-2998-3 DIV	5,316,282	05/31/94	FUKUBE et al. ✓
0557-3185-2	5,610,720	03/11/97	FUJIOKA et al. ✓
0557-3196-2 FWC	5,583,607	12/10/96	FUJIOKA et al. ✓
0557-3231-3	5,471,277	11/28/95	FUJIOKA et al. ✓
0557-3238-2 CIP	5,583,662	12/10/96	TAKAHASHI et al. ✓
0557-3356-3 DIV	6,075,624	06/13/00	BANNAI et al. ✓
0557-3530-2 DIV	5,689,348	11/18/97	TAKAHASHI et al. ✓
0557-3531-2 DIV	5,847,845	12/08/98	TAKAHASHI et al. ✓
197629US2*	09/691,035	10/19/00	PENDING
222204US3	10/133,381	04/29/02	PENDING
228569US2	10/252,096	09/23/02	PENDING

\*Present Application; listed for information  
GJM/sb

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